



Financial Services Commission
Mauritius



PUBLIC CONFERENCE ON LONG-TERM FINANCING THROUGH CAPITAL MARKETS:

PANEL 2: ROLE OF INTERMEDIARIES IN FACILITATING LONG-TERM FINANCING THROUGH CAPITAL MARKETS

Speakers:

K. KWAN LEE

Chief Executive Officer, Corporate Banking, Treasury & Markets, CIMB Group Holdings, Malaysia

Profile:

Kwan's areas of responsibility include corporate banking; the markets, sales and trading businesses in interest rates, credit, foreign exchange, equity, commodities and their derivatives; transaction banking; debt capital markets; fixed income investments, and; the treasury and funding operations of the group.

Prior to joining CIMB in 1996, Kwan had more than seven years of markets and treasury experience in the Canadian banking industry where he was the Treasury Portfolio Manager responsible for interest rates and optionality risk & return for a leading Canadian bank and a member of its Senior Asset-Liability Management Committee.

He is also the President of the Financial Markets Association of Malaysia, Vice-Chair of the Asean+3 Bond Market Forum (established under the auspice of the Asean+3 Bond Markets Initiative and the Asean+3 Finance Ministers to promote the development of Asean+3 local currency bond markets) and a board member of the Asia Securities Industry and Financial Markets Association (ASIFMA). CIMB has more than 1,100 bank branches across Asean and is the largest arranger for the third consecutive year running in the Asean Domestic Currency Bond market as well as the second largest Sukuk arranger globally as ranked by Bloomberg.

ADAM FARLOW

Partner, Baker & Mckenzie LLP, UK

Profile:

Adam Farlow is a New York and English qualified partner in Baker & Mckenzie LLP's International Capital Markets Group based in London. He has extensive experience in US securities laws and transaction management. Adam focuses on the emerging markets, and primarily works with clients on registered offerings and private placements of international debt – both investment grade and high yield – and equity issues, including IPOs and rights issues. He also advises on other forms of financing involving companies, investors, major financial institutions and trustees, as well as all forms of liability management and restructurings for creditors, issuers and dealer managers.

He has been elected as an International Fellow of the American Bar Foundation. He has also been elected as an officer of the American Bar Association of International Law and currently serves on its council.

SONG LIPING

President and Chief Executive, Shenzhen Stock Exchange, Shenzhen, China

Profile:

Ms. Song Liping has been President of Shenzhen Stock Exchange (SZSE) since February 2008. She served as Executive Vice President of SZSE from March 2002 to February 2008. Ms. Song has extensive experience in the securities public offering, trading and regulation, and the related legislative process in China. In the past twenty years, Ms. Song made significant contribution to and played a key role in designing and implementing reforms in the securities market of China. In 1992, she was among the founding members of China Securities Regulatory Commission (CSRC), where she served as Director-General for Secondary Market, Public Offering and Intermediary Supervision. In 1991, Ms. Song, as a lecturer, taught International Economics at Renmin University of China and managed the Market Research Department at the Stock Exchange Executive Council (SEEC). She was also a co-founder of the Securities Market Weekly. Ms. Song joined Nomura Securities in 1988. Ms. Song went to Japan for her college education on a government sponsored program in 1982. She received her Bachelor's and Master's Degrees in economics and business administration from universities in Japan and the U.S.

AFSAR EBRAHIM

Deputy Group Managing Partner of BDO

Profile:

Mr. Ebrahim is presently the Deputy Group Managing Partner of BDO in Mauritius, Kenya, Tanzania, Uganda, Madagascar and Seychelles, the largest professional services firm in Sub-Saharan Africa. Mr. Ebrahim has performed a large number of operational and financial audits and advisory services with a portfolio of clients operating in a wide range of sectors including Telecommunications, Banking, Sugar, Insurance and Corporate and Investment Banking.

He is a fellow of the Institute of Chartered Accountants in England and Wales and has over 20 years of professional experience in setting up businesses, corporate restructuring, litigation support, mergers and acquisitions, raising of funds and auditing and financial consulting.

MOBOLAJI BALOGUN

Chief Executive Officer, Chapel Hill Denham Nigeria

Profile:

Mobolaji Balogun is CEO of Chapel Hill Denham ("Chapel Hill Denham" or "the Firm"), the leading independent investment bank in Nigeria.

'Bolaji spent ten years in investment banking within the FCMB Group, leaving the business in January 2001. From April 1993 to January 2001, he was Executive Director and Chief Operating Officer at CSL Stockbrokers (part of FCMB Group). He was also Executive Director at FCMB Capital Markets, where he led advisory teams in major corporate and complex financial transactions. In January 2001, 'Bolaji left FCMB Capital Markets to become a co-founder and director of Econet Wireless Nigeria (now Airtel Nigeria). He was pioneer Chief Business Development and Strategy Officer and in October 2001, was appointed Chief Marketing Officer. He left the business and mobile telecommunications and returned to investment banking in 2005.

'Bolaji is a Director of Lafarge Cement WAPCO Plc and NAHCO Aviance Plc, both listed on the Nigerian Stock Exchange. He is also a Director of Trustfund Pensions Plc, Nigeria's 2nd largest Pension Fund Manager, as well as NASD Plc, the first OTC Market launched in Nigeria. He was appointed to the Johannesburg Stock Exchange, Africa Advisory Committee in September 2009 and became Chairman of the investment banking trade group, the Association of Issuing Houses of Nigeria in 2010. He was a member of the Committee that drafted the first Nigerian Code of Corporate Governance for Public Companies. He is an Economics graduate of the London School of Economics.

BRYAN STIREWALT (Moderator)

Managing Director - Supervision, Dubai Financial Services Authority

Profile:



Bryan Stirewalt joined the DFSA in 2008 as a Director in the Supervision Division, responsible for commercial banking and insurance activities as well as coordinating the DFSA's efforts to combat money laundering and terrorist financing.

In September 2010, Bryan was appointed Managing Director of the DFSA's Supervision Division. The Supervision Division includes front-line oversight of a variety of financial service providers, including commercial banks, investment banks, reinsurance companies, fund managers and fund administrators. Additionally, Bryan oversees the DFSA's regulatory role with various ancillary service providers such as lawyers, accountants and auditors. As Managing Director, Bryan continues to be active in the DFSA's efforts to fight methods of illicit finance, including co-operation with a variety of local, regional and international counterparts.

Bryan has spent most of his working life in the financial regulatory sphere, in both public and private sector roles. From 1985 to 1996, Bryan worked for the US Office of the Comptroller of the Currency as a National Bank Examiner, where he specialised in policy development and implementation, problem bank rehabilitation and banking fraud initiatives. From 1996 to 2008, Bryan worked for a US consulting and advisory firm. During his consulting career, Bryan focused his attention on Emerging Markets, including management of large-scale and multi-faceted development projects in Poland, Ukraine, Cyprus and Kazakhstan. These projects related to a wide array of topics including financial sector development, risk management policies and practices, anti-money laundering systems and controls and methods of supervising complex financial conglomerates.
